

**ITT VOLUME 2 - TERMS OF REFERENCE (TORS)****MAPPING THE DONOR AND INVESTOR LANDSCAPE IN SCIENCE TECHNOLOGY AND INNOVATION (ST&I) FOR GROWTH AND POVERTY REDUCTION IN AFRICA**

Updated: 10 December 2020

**1. OBJECTIVE AND EXPECTED AREAS OF FOCUS****1.1 Overall Objective:**

The overall objective is to analyse and map the landscape of donor and investor activities in science technology and innovation (ST&I) targeted at supporting economic growth and poverty reduction in at least 6 African countries (to be decided on award of contract, but to include at least Kenya, Nigeria, South Africa). This will enable a deeper understanding of the role that different international donors and public and private investors, (i.e. social and impact, philanthropic and Venture Capital (VC), Corporate Social Responsibility (CSR), Sustainable and Responsible Investing (SRI), and other equity-type investments where appropriate), play in supporting innovation for growth and poverty reduction in general and scaling for impact in particular.

The work will take a broad and cross-sectoral view of innovation (including technological and non-technological product and process innovations). Ultimately, the aim is to chart the composition of support offered through the complex networks of international, regional and national funders of ST&I for development with a view to identifying gaps and new partnership opportunities to deploying and scaling innovations for impact.

**1.2 Specific objectives:**

The report will analyse and synthesise the quantitative and qualitative aspects of international, regional and national ST&I research and innovation investments for economic growth and poverty reduction in Africa over the last five years to provide a comprehensive overview of these investments, their reach, thematic and/or sectoral focus, beneficiary types, as well as, the partnerships involved. Additionally, the work will consider the pipeline of commitments that are still active and the duration of these, where relevant.

Key questions the analysis will consider include:

- 1) What is the total amount of ST&I investments/funding available to each African country segregated by investor type (e.g. donor, government, private sector, foundations, philanthropic, VC, CSI, SRI)?
- 2) Levels of funding support across the innovation value chain (e.g. ideation, proof of concept, incubation, piloting, pre-commercialisation, scale-up), segregated by country, donor type and beneficiary profile/type (e.g. individual entrepreneur, social-enterprise, start-up, SMME)?
- 3) What is the level of investment by themes and sectors?
- 4) Analysis (qualitative and/or quantitative analysis based on available data) of how the quantum of ST&I investments in African countries relate to development impact?
- 5) Based on the above, where are the gaps and partnership opportunities for better enabling scale-up for impact?

**1.3 Beneficiaries:**

The primary recipients of the work will be the FCDO's Research and Evidence Department, represented by its Africa Research and Innovation Hubs in East, West and Southern Africa in support of the design, implementation and review of innovation support programming across the region. Other beneficiaries are UK Government staff, other donors, researchers, business organisations, private sector and other commercial entities engaged in different aspects of innovation development and funding to enable these to identify new areas of support and collaboration partnerships.

## **2. CONSTRAINTS**

### **2.1 Timing**

The project will start in January 2021 and be completed by end March 2021.

A draft of the report will be provided by 1<sup>st</sup> March 2021, with a meeting with the team in the week of 8<sup>th</sup> March to discuss any gaps and revision requirements, so that the report can be finalised by end March 2021.

### **2.2 Outputs**

The output will be

1. A comprehensive synthesis report of ST&I donor and investor activities as outlined above, including recommendations on funding gaps and new partnership opportunities to enhance the efficacy of scaling innovations for impact across Africa. The report should be structured so that information about particular sectors/countries/investor types etc. can be easily accessed.
2. A presentation to aid communication of the results.
3. A blog covering the findings, which will be published on an FCDO blog platform (e.g. Medium).
4. All data should be provided in an accessible, open-source format which can be made available to others.

It is anticipated that the team may wish to publish the report, or a version of the findings, once agreed with the Foreign Commonwealth and Development Office (FCDO) team. Any publication must contain appropriate branding and acknowledgement of the funding source, with a standard disclaimer. The details of branding and the disclaimer to be agreed with the FCDO team.

### **2.3 Stakeholders**

The supplier will determine relevant international, regional and national stakeholders operating in ST&I for economic growth and poverty reduction in Africa, including how their work may change the landscape and influence future work and investment. The team must consult with existing FCDO implementing partners to ensure maximum linkages and information sharing across programmes. A list of those to be included will be provided at inception.

## **3. IMPLEMENTATION REQUIREMENTS**

Following award of the contract, the successful bidder will develop a detailed methodology to carry out the work including desktop research, calls/ interviews and other analysis in order to address the requirements. The methodology should include the approach to identifying, accessing and aggregating existing sources and a framework to assess and quality assure the outputs outlined. Plans for risk and programme management (including reporting) should be incorporated in the bid.

The methodology and approaches used to be described in detail so that the work could be used and applied to other countries in the future.

## **4. PERFORMANCE REQUIREMENTS**

Bidders should indicate in their project plan how they intend to quality assure their outputs and ensure robustness of the final product.

## **5. PROCUREMENT**

Bids should be up to 5 pages in total and include:

- A project plan to scope, design and deliver a comprehensive report on the donor and investor landscape for ST&I for growth and poverty reduction in selected countries in Africa;
- An outline of the proposed methodology for delivering the requirement;

- Project team, confirmation of their capacity to deliver this work and experience relevant to the report;
- Wider corporate experience in the areas proposed by the project;
- Proposed activities in support of the outputs and evidence-based justification for them;
- Milestones at which bidders anticipate requiring payment;
- Annexed to the bid (so not included in the 5-page limit) should be an activity-based budget.
- Annexed to the bid (so not included in the 5-page limit) one page CV including relevant experience, for all staff included in the bid.

## **6. OTHER REQUIREMENTS**

### **6.1 Transparency**

Bids and any activity by suppliers are subject to UK Data Protection and Freedom of Information legislation.

### **6.2 Budget**

Bids should be for up to £100,000

### **6.3 General Data Protection Regulations (GDPR)**

Please refer to the details of the GDPR relationship status and personal data (where applicable) for this project as detailed in App A and the standard clause 33 in section 2 of the contract.

## **7. BACKGROUND**

The Foreign Commonwealth and Development Office (FCDO) Research and Evidence Division (RED), through its regional Research and Innovation Hubs in Africa and South Asia, is supporting work to strengthen research and innovation ecosystems. This work targets multiple parts of the innovation value chain by complementing existing FCDO ST&I programming with a view to better coordinating contributions along critical pathways to achieving sustainable scale of effective ST&I solutions to developmental challenges in priority areas across Africa and South-Asia.

In this context, a deeper understanding of the donor and investor landscape is critical to ensure that work is delivered effectively and to enable the development of strategic partnerships with other key players. A landscaping and assessment of ST&I investments by public and private donors and other funders, such as impact investors in selected countries in Africa is essential and will ensure that future programmes are additional and complementary to existing interventions and are demand-driven.

## Appendix A: of Contract Section 3 (Terms of Reference) Schedule of Processing, Personal Data and Data Subjects

This schedule must be completed by the Parties in collaboration with each-other before the processing of Personal Data under the Contract.

The completed schedule must be agreed formally as part of the contract with FCDO and any changes to the content of this schedule must be agreed formally with FCDO under a Contract Variation.

Description	Details
<b>Identity of the Controller and Processor for each Category of Data Subject</b>	<p>The Parties acknowledge that for the purposes of the Data Protection Legislation, the following status will apply to personal data under this contract:</p> <ol style="list-style-type: none"> <li>1) The Parties acknowledge that Clause <b>33.2</b> Protection of Personal Data and <b>33.4</b> (Section 2 of the contract) shall not apply for the purposes of the Data Protection Legislation as the <b>Parties are independent Controllers</b> in accordance with Clause 33.3 in respect of the following Personal Data: <ul style="list-style-type: none"> <li>• [to be determined]</li> </ul> </li> <li>2) For the avoidance of doubt the Supplier shall provide <b>anonymised data</b> sets for the purposes of reporting on this project and so FCDO shall not be a Processor in respect of [to be determined] as it does not constitute Personal Data</li> </ol>