**PROPOSAL GUIDELINE/01**

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| **GUIDELINE (LAYOUT) – REGIONAL INNOVATION NETWORKING PLATFORM** |
| 1. EXECUTIVE SUMMARY 2. TABLE OF CONTENT 3. INTRODUCTION    1. Regional socio-economic profile    2. Situational Assessment (including the analysis of the regional innovation system and must be relevant to innovation)    3. Policy and strategy analysis & alignment (*e.g. National/provincial/local government etc.*) 4. BUSINESS CASE (*Rational for establishing/implementing the platform and its role in the region economy*) 5. OBJECTIVES OF THE PLATFORM    1. Key objectives    2. Platform offerings/services 6. SECTORS TO BE SUPPORTED 7. GOVERNANCE STRUCTURE AND INSTITUTIONAL ARRANGEMENT    1. Steering Committee (including Lead Institution)    2. Financial Control and Management    3. Reporting (*e.g. to steering committee, TLIU etc*.) 8. PLATFORM WORKPLAN AND ACTIVITIES    1. Development Plan (O*utline and unpack all developmental activities to be carried out by the Platform to support its region*)    2. Workplan (e.g. *include SMME support, interventions, projects, initiatives, workshops etc. & clear timelines – to be linked with the Development plan*)    3. Gantt chart 9. KEY PERFORMANCE INDICATORS (refer to MAIN PERFORMANCE INDICATOR TEMPLATE/01) 10. BUDGET (INCLUDING CO-FUNDING) (*detailed budget – e.g. SMME support, interventions, projects, initiatives workshops, projects, traveling, administration etc*.) 11. RISKS, MITIGATION AND ASSUMPTIONS 12. CONCLUSIONS AND RECOMMENDATIONS 13. REFERENCES (IF NECESSARY) 14. APPENDICES (IF NECESSARY)     1. E.g. Signed commitment letters, CVs, Profiles etc. |

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| **GUIDELINE (LAYOUT) – INNOVATION BASELINE STUDIES** |
| 1. EXECUTIVE SUMMARY 2. TABLE OF CONTENT 3. INTRODUCTION    1. Description of the focus area(s)/region (*to be covered by the baseline study*)    2. Regional socio-economic profile    3. Regional economic sectors    4. Situational Assessment    5. Policy and strategy context (*e.g. National/provincial/local government policies/strategies etc.*)    6. Project Rationale (*for conducting the Innovation Baseline Study*) 4. TERMS OF REFERENCE (*for conducting the Innovation Baseline Study*) 5. METHODOLOGY AND APPROACH    1. Project Phases and detailed description of each phase    2. Workplan and indicators of performance (*include all phases & clear timelines*)    3. Gantt chart 6. PROJECT STEERING COMMITTEE (*it is recommended that the Steering Committee comprises of representatives of provincial/local government, civil society, academia/STI institution and private business* ) 7. DETAILED BUDGET (INCLUDING CO-FUNDING) 8. RISKS, MITIGATION AND ASSUMPTIONS 9. CONCLUSIONS AND RECOMMENDATIONS 10. REFERENCES (IF NECESSARY) 11. APPENDICES (IF NECESSARY) |

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| **GUIDELINE (LAYOUT) – FEASIBILITY STUDIES FOR SCIENCE AND TECHNOLOGY PARKS, TECHNO PARKS, TECHNOLOGY INCUBATORS, INNOVATION CENTRES ETC.** |
| 1. EXECUTIVE SUMMARY 2. TABLE OF CONTENT 3. INTRODUCTION    1. Regional socio-economic profile    2. Situational Assessment (including the analysis of the regional innovation system and must be relevant to innovation)    3. Policy and strategy analysis & alignment (*e.g. National/provincial/local government etc.*)    4. Rationale (*for establishing a science park, technology incubator, techno park etc. and its envisaged role in the region*) 4. SECTORS TO BE SUPPORTED *(or detailed proposed process to determine these)* 5. TERMS OF REFERENCE 6. PROJECT STEERING COMMITTEE (*it is recommended that the Steering Committee comprises of representatives of provincial/local government, civil society, academia/STI institution and private business* ) 7. METHODOLOGY AND APPROACH    1. Project Phases (e.g. *Benchmarking,* *market assessment, technical assessment, concept development, business plan development etc.- Describe each phase in detail*)    2. Workplan and Indicators of performance (*include all phases & clear timelines*)    3. Gantt chart 8. DETAILED BUDGET (INCLUDING CO-FUNDING) 9. RISKS, MITIGATION AND ASSUMPTIONS 10. CONCLUSIONS AND RECOMMENDATIONS 11. REFERENCES (IF NECESSARY) 12. APPENDICES (IF NECESSARY) |

MAIN PERFORMANCE INDICATOR TEMPLATE/01

| **(Deliverables)** | | **Targets** | | | | | | | **Comments** |
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| **Quarter 1** | **Quarter 2** | **Quarter 3** | **Quarter 4** | **Annual** | | |
| **Number of Stakeholders in the Regional Innovation Support Platform (RISP)** | | | | | | | | |  |
| Government (National, provincial & Local) in the network | |  |  |  |  |  | | |  |
| RDI Communities in the network | |  |  |  |  |  | | |  |
| Number of SMMEs in the network | |  |  |  |  |  | | |  |
| Number of black owned SMMEs in the network | |  |  |  |  |  | | |  |
| Number of female owned SMMEs in the network | |  |  |  |  |  | | |  |
| Number of black owned large enterprises | |  |  |  |  |  | | |  |
| Number of Historically disadvantaged communities | |  |  |  |  | |  |  | |
| Others | |  |  |  |  | |  |  | |
| Co-finding amounts | Private sector |  |  |  |  | |  |  | |
| Provincial Government |  |  |  |  | |  |  | |
| Local Government |  |  |  |  | |  |  | |
| Other |  |  |  |  | |  |  | |
| Leveraging of synergies with other sub-national innovation initiatives | |  |  |  |  | |  |  | |
| **Capacity Building Programmes for Improved Innovation Driven Industrial Competitiveness** | | | | | | | |  | |
| Number of workshops/networking events/conferences | |  |  |  |  | |  |  | |
| Number of training events | |  |  |  |  | |  |  | |
| Number of knowledge sharing platforms/portals | |  |  |  |  | |  |  | |
| Number of technology SMMEs trained | |  |  |  |  | |  |  | |
| Number of black-owned technology SMMEs trained | |  |  |  |  | |  |  | |
| SMME that secured further funding as a result of capacity building programme | Number of SMMEs |  |  |  |  | |  |  | |
| Source |  |  |  |  | |  |  | |
| Ideas that secured further funding as a result of capacity building programme: | Number of ideas |  |  |  |  | |  |  | |
| Source |  |  |  |  | |  |
| **Enabling Innovation** | | | | | | | |  | |
| Number of technology transfer transactions resulting from RISP or RISP activities | |  |  |  |  | |  |  | |
| Number of technology transfer transactions still active after 5 years | |  |  |  |  | |  |  | |
| Number of deals that are generated as a result of RISPs or RISP activities | |  |  |  |  | |  |  | |
| **Contribution to STI related policy and strategy development & Strategic Alignment** | | | | | | | |  | |
| Sub-national intelligence to update DST of relevant sub-national activities to ensure policy alignment | |  |  |  |  | |  |  | |
| Alignment of interventions to influence Policy and create greater synergies at a National, Provincial or Local Government Level | |  |  |  |  | |  |  | |
| Number of STI based initiatives emanating from government strategies or policies for economic and industrial growth | |  |  |  |  | |  |  | |